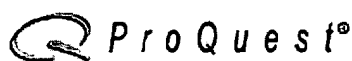


Set	Items	Description
S1	0	ODD (W) LOT (W) TRAD? ?
S2	1505	ODD (W) LOT
S3	4165036	FEE? ? OR COMMISSION OR SPREAD OR DIFFERENTIAL
S4	3233983	GAIN OR INCOME OR PROFIT
S5	194	S2 AND S3 AND S4
S6	2147276	S4 NOT PD>=20010312
S7	125	S5 NOT PD>=20010312
?		


[Return to the USPTO NPL Page](#) | [Help](#)

Basic **Advanced** Topics Publications My Research
0 marked items

Interface language:

English

Databases selected: Multiple databases...

Results – powered by ProQuest® Smart Search**Suggested Topics** [About](#)

< Previous | Next >

Browse Suggested Publications

< Previous | Next >

[Theory](#)[Theory AND Economic theory](#)[Theory AND Criminology](#)[Theory AND Psychology](#)[Academy of Management. The Academy of](#)[Management Review; Briarcliff Manor](#)[Journal of Abnormal Child Psychology; New York](#)[Criminology; Beverly Hills](#)

8 documents found for: (odd) PRE/1 (lot) PRE/1 (theory) AND PDN
(<3/12/2001)

>> [Refine Search](#) | [Set Up Alert](#)

[All sources](#) [Magazines](#) [Trade Publications](#) [Newspapers](#) [Dissertations](#)

☐ Mark all 0 marked items: [Email](#) / [Cite](#) / [Export](#)
[Show only full text](#)Sort results by: [Most relevant](#)

-
- ☐ 1. **[What is ...odd-lot theory? FCM; \[Final Edition\]](#)**
J Features. Seattle Times. Seattle, Wash.: Aug 7, 2000. p. C.2
[Full text](#) [Abstract](#)
-
- ☐ 2. **[ODD-LOT THEORY; \[THIRD Edition\]](#)**
CHARLES A. JAFFE, GLOBE STAFF. Boston Globe. Boston, Mass.: Jul 30, 2000. p. G.5
[Full text](#) [Abstract](#)
-
- ☐ 3. **[What's making the Dow bounce and investors jumpy? Market bent on records; As the most-watched soars, few understand why; \[FINAL Edition 1\]](#)**
Amanda Lang. National Post. Don Mills, Ont.: Nov 27, 1998. p. C.11
[Full text](#) [Abstract](#)
-
- ☐ 4. **[What's making the Dow bounce and investors jumpy?: Market bent on records: As the most-watched soars, few understand why; \[Final Edition\]](#)**
Amanda Lang. National Post. Don Mills, Ont.: Nov 27, 1998. p. C.11
[Full text](#) [Abstract](#)
-
- ☐ 5. **[Everyone's a Contrarian: How to Tell the Real from the Pseudo](#)**
Schulz, John W.. Barron's National Business and Financial Weekly. Sep 14, 1987. Vol. 67, Iss. 37; p. 40
[Abstract](#)
-
- ☐ 6. **[THE CONTROVERSIAL ODD-LOT THEORY: AN EMPIRICAL INVESTIGATION OF VALIDITY AND PREI VALUE USING ODD-LOT PURCHASE AND SALES DATA FOR INDIVIDUAL ISSUES](#)**
 by WILLIAMS, JOHN RUSSELL, Ph.D., University of Georgia, 1977, 157 pages; AAT 7729816
[Citation](#) [Order a copy](#)
-
- ☐ 7. **[WHAT DO THOSE ODD-LOT WATCHERS SEE](#)**
LYONS, JOHN F.. Financial World. New York: AUG 15, 73. Vol. 140, Iss. 7; p. 6

[Abstract](#)

- ☐ 8. **Little Man, What Now?: Odd-Lot Figures Point to a Further Rise in Stocks**
 GARFIELD A DREW. *Barron's National Business and Financial Weekly* (1942-Current file). Boston, Ma
 1971. Vol. 51, Iss. 8; p. 5 (3 pages)

[Article image - PDF](#) [Page map](#) [Abstract](#)

1-8 of 8

Want to be notified of new results for this search? [Set Up Alert](#)

Results per

Did you find what you're looking for? If not, [refine your search](#) below or try these suggestions.[Suggested Topics](#) [About](#)< Previous | [Next](#) >[Browse Suggested Publications](#) [About](#) < Pre[Theory](#)[Theory AND Economic theory](#)[Theory AND Criminology](#)[Theory AND Psychology](#)[Academy of Management. The Academy of Manag](#)[Review; Briarcliff Manor](#)[Journal of Abnormal Child Psychology; New York](#)[Criminology; Beverly Hills](#)

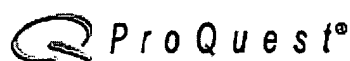
Advanced Search

Tools: [Search Tips](#) [Browse Topics](#) [2 Recent Searches](#)

odd	Citation and abstract
PRE/1 lot	Citation and abstract
PRE/1 theory	Citation and abstract

[Add a row](#) | [Remove a row](#) [Search](#) [Clear](#)

Database: [Multiple databases...](#) [Select multiple databases](#)Date range: [Before this date...](#) [3/12/2001](#) [About](#)Limit results to: ☐ Full text documents only ☐ Scholarly journals, including peer-reviewed [About](#)[More Search Options](#)Copyright © 2006 ProQuest Information and Learning Company. All rights reserved. [Terms and Conditions](#)[Text-only interface](#)ProQuest
COMPANY


[Return to the USPTO NPL Page](#) | [Help](#)

[Basic](#)
[Advanced](#)
[Topics](#)
[Publications](#)
[My Research](#)
0 marked items

Interface language:

English

Databases selected: Multiple databases...

Results

44 documents found for: *TEXT(odd) PRE/1 TEXT(lot) PRE/1 TEXT(trad*) AND TEXT(risk) AND TEXT(fees) OR TEXT(commission) OR TEXT(differential) AND PDN(<3/12/2001)*

[» Refine Search](#) | [Set Up Alert](#)

[All sources](#)
[Scholarly Journals](#)
[Magazines](#)
[Trade Publications](#)
[Newspapers](#)

☐ Mark all
 ☐ 0 marked items: Email / Cite / Export

☐ Show only full text

Sort results by: [Most recent first](#)

-
- ☐ 1. **Price discovery in auction markets: a look inside the black box**
A Madhavan, V Panchapagesan. The Review of Financial Studies. New York: Fall 2000. Vol. 13, Iss. 3; p. 627
[Article image - PDF](#) [Abstract](#)
-
- ☐ 2. **Timely lessons from the travails of pension funds; [1F Edition]**
Graham Searjeant. The Times. London (UK): May 1, 1999. p. 63
[Full text](#) [Abstract](#)
-
- ☐ 3. **Do measures of investor sentiment predict returns?**
Robert Neal, Simon M Wheatley. Journal of Financial and Quantitative Analysis. Seattle: Dec 1998. Vol. 33, Iss. 4; p. 523 (25 pages)
[Text+Graphics](#) [Page Image - PDF](#) [Abstract](#)
-
- ☐ 4. **Mass tort class actions: Will Amchem spawn creative solutions?**
Leslie W O'Leary. Defense Counsel Journal. Chicago: Oct 1998. Vol. 65, Iss. 4; p. 469 (21 pages)
[Full text](#) [Page Image - PDF](#) [Abstract](#)
-
- ☐ 5. **PREPARED STATEMENT OF WILLIAM H. JAMES THE BOND MARKET ASSOCIATION BEFORE THE HOUSE COMMITTEE ON COMMERCE SUBCOMMITTEE ON FINANCE & HAZARDOUS MATERIALS TUESDAY, SEPTEMBER 29, 1998**
Federal News Service - Congressional Hearing Prepared Testimonies. Washington: Sep 29, 1998. p. 1
[Citation](#)
-
- ☐ 6. **Equities**
Ottoman Bank. Euromoney. London: Aug 1998. p. 2
[Full text](#) [Citation](#)
-
- ☐ 7. **Futures Imperfect: Electronic Dealings Drive Outcry Traders Out of Exchange Pits --- Computer-Based Operations Offer Potential Savings, But Also Pose Big Risks --- Aristotle and a Squawk Box**
By Silvia Ascarelli. Wall Street Journal (Europe). Brussels: Jul 10, 1998. p. 1
[Full text](#) [Abstract](#)
-
- ☐ 8. **Is it time to split the S&P 500 futures contract?**
Roger D Huang, Hans R Stoll. Financial Analysts Journal. Charlottesville: Jan/Feb 1998. Vol. 54, Iss.

1; p. 23 (13 pages)

 [Text+Graphics](#)

 [Page Image - PDF](#)

 [Abstract](#)

-
- ☐ 9. **Picking your tick: Toward a new theory of stock splits**
James J Angel. The Bank of America Journal of Applied Corporate Finance. New York: Fall 1997.
 Vol. 10, Iss. 3; p. 59 (10 pages)

 [Abstract](#)

-
- ☐ 10. **The round-the-clock market for U.S. Treasury securities**
Michael J Fleming. Economic Policy Review - Federal Reserve Bank of New York. New York: Jul
 1997. Vol. 3, Iss. 2; p. 9 (24 pages)

 [Text+Graphics](#)


 [Page Image - PDF](#)

 [Abstract](#)

-
- ☐ 11. **Appealability of class certification orders: The "Mandamus Appeal" and a proposal to amend rule 23**
Jordon L Kruse. Northwestern University Law Review. Chicago: Winter 1997. Vol. 91, Iss. 2; p. 704
 (37 pages)

 [Full text](#)

 [Page Image - PDF](#)

 [Abstract](#)


-
- ☐ 12. **Clearing the paper trail**
Anonymous. Asiamoney. London: Dec 1996/Jan 1997. Vol. 7, Iss. 10; p. 89 (9 pages)

 [Full text](#)

 [Abstract](#)

-
- ☐ 13. **Equities**
Anonymous. Euromoney. London: Aug 1996. p. 6 (4 pages)

 [Full text](#)

 [Abstract](#)

-
- ☐ 14. **IPOs in cyberspace**
Osterland, Andrew. Financial World. New York: Apr 22, 1996. Vol. 165, Iss. 7; p. 24 (4 pages)

 [Full text](#)

 [Page Image - PDF](#)

 [Abstract](#)

-
- ☐ 15. **Stock Splits Win Cheers But Benefits May Be Brief**
By Patrick McGeehan. Asian Wall Street Journal. Feb 5, 1996. p. 14

 [Full text](#)

 [Abstract](#)

-
- ☐ 16. **Abreast of the market: Stock splits feast on bull market**
McGeehan, Patrick. Wall Street Journal (Eastern edition). New York, N.Y.: Feb 5, 1996. p. C1

 [Full text](#)


 [Abstract](#)

-
- ☐ 17. **Equities**
Anonymous. Euromoney. London: Aug 1995. Vol. 1995; p. 24 (4 pages)

 [Full text](#)

 [Abstract](#)

-
- ☐ 18. **INDEX PARTICIPATION UNITS AND THE PERFORMANCE OF INDEX FUTURES MARKETS: EVIDENCE FROM THE TORONTO 35 INDEX PARTICIPATION UNITS MARKET**
TAE H PARK, LORNE N SWITZER. The Journal of Futures Markets (1986-1998). New York: Apr
 1995. Vol. 15, Iss. 2; p. 187 (14 pages)

 [Article image - PDF](#)

 [Page Image - PDF](#)

 [Abstract](#)

-
- ☐ 19. **As Derivatives Debacles Add Up, It's Time to Ask: Hedge or Risk?; [Home Edition]**
MICHAEL SCHRAGE. Los Angeles Times (pre-1997 Fulltext). Los Angeles, Calif.: May 5, 1994. p. 1


 [Full text](#)

 [Abstract](#)

- ☐ 20. **Additional evidence of year-end tax-motivated trading by individual investors, 1962-1986**
Koogler, Paul R, Mabery, Edwin D. The Journal of the American Taxation Association. Sarasota: Spring 1994. Vol. 16, Iss. 1; p. 122
[Full text](#) [Abstract](#)
-
- ☐ 21. **Two Faces of Financial Innovation**
Flood, Mark D.. Review - Federal Reserve Bank of St. Louis. St. Louis: Sep/Oct 1992. Vol. 74, Iss. 5; p. 3 (15 pages)
[Full text](#) [Page Image - PDF](#) [Abstract](#)
-
- ☐ 22. **The Crash of the Revco Leveraged Buyout: The Hypothesis of Inadequate Capital**
Bruner, Robert F., Eades, Kenneth M.. Financial Management. Tampa: Spring 1992. Vol. 21, Iss. 1; p. 35
[Full text](#) [Abstract](#)
-
- ☐ 23. **The Crash of the Revco Leveraged Buyout: The Hypothesis of Inadequate Capital**
Bruner, Robert F., Eades, Kenneth M.. Financial Management. Tampa: Spring 1992. Vol. 21, Iss. 1; p. 35 (15 pages)
[Full text](#) [Page Image - PDF](#) [Abstract](#)
-
- ☐ 24. **Bullish on Savings**
Schmerken, Ivy. Wall Street & Technology. New York: Apr 1992. Vol. 9, Iss. 8; p. 60 (6 pages)
[Full text](#) [Abstract](#)
-
- ☐ 25. **STEP BY STEP, YOU CAN LEARN TO MANAGE YOUR MONEY; [City Edition]**
Beatson Wallace. Boston Globe (pre-1997 Fulltext). Boston, Mass.: Mar 29, 1992. p. 77
[Full text](#) [Abstract](#)
-
- ☐ 26. **Selected Domestic Bond Markets 1992: United States**
Anonymous. Euromoney. London: Jan 1992. p. 39 (5 pages)
[Full text](#) [Abstract](#)
-
- ☐ 27. **Failing to Consider Commissions Can Be Costly Error**
Schultz, Ellen E. Wall Street Journal (Eastern edition). New York, N.Y.: Jun 25, 1991. p. C1
[Full text](#) [Abstract](#)
-
- ☐ 28. **Tell trade gripe to the exchange; [2 STAR Edition]**
WILLIAM DOYLE. Houston Chronicle (pre-1997 Fulltext). Houston, Tex.: Oct 15, 1990. p. 1
[Citation](#)
-
29. **Lawrence complains about Salomon advice; [UNION, 1,2,3,4,5,6 Edition]**
Rod Riggs. The San Diego Union - Tribune. San Diego, Calif.: Nov 2, 1989. p. E.1
-
30. **LOSSES FROM JUNK-BOND DEAL | Lawrence files second suit; [TRIBUNE, 1,2,3,4,5 Edition]**
Richard Spaulding. The San Diego Union - Tribune. San Diego, Calif.: Nov 2, 1989. p. AA.1

1-30 of 44

< First | < Previous 1 2 Next >

Want to be notified of new results for this search? [Set Up Alert](#) Results per page: **30** 

Advanced SearchTools: [Search Tips](#) [Browse Topics](#) [3 Recent Searches](#)

odd	Document text
PRE/1 lot	Document text
PRE/1 trad*	Document text
AND risk	Document text
AND fees	Document text
OR commission	Document text
OR differential	Document text

[Add a row](#) | [Remove a row](#)**Search****Clear**Database: [Multiple databases...](#) [Select multiple databases](#)Date range: [Before this date...](#) [3/12/2001](#) [About](#)Limit results to: ☐ Full text documents only☐ Scholarly journals, including peer-reviewed: [About](#)[More Search Options](#)Copyright © 2006 ProQuest Information and Learning Company. All rights reserved. [Terms and Conditions](#)[Text-only interface](#)**ProQuest**
COMPANY